

Message Text

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12

ACTION EUR-25

INFO OCT-01 ISO-00 FRB-02 SEC-03 TRSE-00 OMB-01 AID-20

CEA-02 CIAE-00 COME-00 EB-11 EA-11 INR-10 IO-15

NEA-10 NSAE-00 RSC-01 OPIC-12 SPC-03 CIEP-02 LAB-06

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TO SECSTATE WASHDC 787

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DEPT PASS FRB FOR PARTEE, SEC FOR LEVENSON

EO 11652 N/A

TAGS EFIN OECD

SUBJECTSTANDARD RULES FOR MUTUAL FUNDS

1. AT MEETING JULY 11, 1973, COMMITTEE ON FINANCIAL MARKETS REQUESTED MEMBER COUNTRIES TO SUPPLY INFORMATION ON QUESTIONS IN PARA 2 BELOW. MISSION WOULD APPRECIATE RECEIPT OF APPROPRIATE INFORMATION FOR U.S. PRIOR OCT 15, 1973 DEADLINE.

2. INFORMATION IS REQUESTED ON:

(A) ANY OFFICIAL AND PROFESSIONAL REACTION TO THE RECOMMENDATION OF THE COUNCIL OF 11TH APRIL 1972, C(71)234(FINAL);

(B) ANY REVIEW IN THE LIGHT OF THE STANDARD RULES OF EXISTING LEGISLATION OR REGULATIONS REGARDING INSTITUTIONS FOR COLLECTIVE INVESTMENT IN SECURITIES OR ANY PREPARATION OF NEW SUCH LEGISLATION OR REGULATIONS IN WHICH THE STANDARD RULES HAVE BEEN OR ARE BEING TAKEN INTO ACCOUNT;

(C) THE POLICY AND PRACTICE CONCERNING ADMISSION TO PUBLIC SALE OF SECURITIES OF FOREIGN INSTITUTIONS FOR COLLECTIVE INVESTMENT AND IN PARTICULAR ON THE EXTENT TO WHICH THE AUTHORITIES GIVE WEIGHT TO COMPLIANCE WITH THE STANDARD RULES.

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